

Fa5/07 Receipt
2800

PATENT
81762/210

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Baker et al)
Serial No : 09/374,681)
Cnfrm No : 4027)
Filed : September 19, 2002)
For : LOW INSERTION FORCE ELECTRICAL)
SOCKET CONTACT)

Examiner:
Unknown

Art Unit:
2833

148
GPR
1/19/03

REQUEST FOR CORRECTED FILING RECEIPT

U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

Dear Sir:

Applicants hereby request a corrected filing receipt for the above-identified application. On the enclosed copy of the official filing receipt, the entity status is omitted. It should read "SMALL ENTITY", per the attached Verified Statement (Declaration) Claiming Small Entity Status which was filed September 4, 2002.

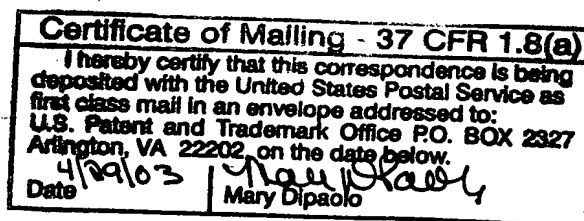
Since Applicants are not responsible for this error, no fee is enclosed.

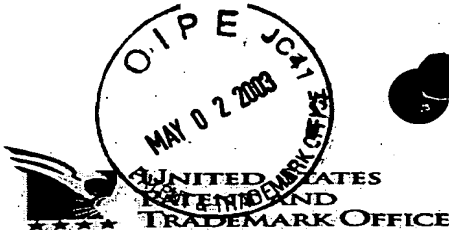
Respectfully submitted,

Dated: April 29, 2003

Gunnar G. Leinberg
Gunnar G. Leinberg
Registration No. 35,584

Nixon Peabody LLP
Clinton Square, P.O. Box 31051
Rochester, New York 14603-1051
Telephone: (716) 263-1014
Facsimile: (716) 263-1600





Commissioner for Patents
Washington, DC 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/374,681	09/19/2002	2833	870	81762/210	2	5	1

CONFIRMATION NO. 4027

UPDATED FILING RECEIPT



OC000000008888373

GUNNER G. LEINBERG, ESQ.
NIXON PEABODY LLP
CLINTON SQUARE
P.O. BOX 31051
ROCHESTER, NY 14603

Date Mailed: 10/02/2002

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

CRAIG H. BAKER, SHREWSBURY, MA;
CLYDE T. CARTER, SHERMANS DALE, PA;
ALEXANDER S. FATER, WORCESTER, MA;
URS F. NAGER, HUDSON, NH;
CHRISTOPHER P. PALAGI, UPTON, MA;
CHRISTINE MARY SVELNIS, BOYSLTON, MA;
MARK A. WOJCICKI, HOLDEN, MA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 08/31/1999

Projected Publication Date: 03/25/2004

Non-Publication Request: No

Early Publication Request: No

"SMALL ENTITY"

Title

ENTERED
Nixon Peabody LLP

JAN 30 2003

FILE 81762/210
DKT 15

LOW INSERTION FORCE ELECTRICAL SOCKET CONTACT

Preliminary Class

439

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

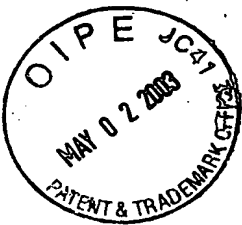
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



PATENT
Docket No.: 81762/210

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	:	Craig H. Baker, Clyde T. Carter, Alexander S. Fater, Urs F. Nager, Christopher Palagi, Christine M. Svelnis, and Mark A. Wojcicki)	Examiner: Unknown
)	
)	
)	Art Unit: 2833
)	
Serial No.	:	09/374,681)	
)	
Cnfrm. No.	:	To Be Assigned)	
)	
Filed	:	August 16, 1999)	
)	
For	:	LOW INSERTION FORCE ELECTRICAL SOCKET CONTACT)	

TRANSMITTAL LETTER

Attention: Office of Petitions
U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202
Box: DAC

Dear Sir:

Applicants hereby submits the following items:

- 1) Petition to Revive Unintentionally Abandoned Application Under 37 CFR §1.137(b);
- 2) Declaration of Gunnar G. Leinberg;
- 3) Declaration of Mark A. Wojcicki;
- 4) Combined Declaration and Power of Attorney;
- 5) Verified Statement Claiming Small Entity Status;
- 6) A check to cover the \$640.00 fee for said petition;
- 7) A duplicate copy of this letter; and
- 8) A self-addressed prepaid postcard acknowledging receipt of the above-identified material.

Please charge our Deposit Account No. 14-1138 for additional fees that may be incurred.

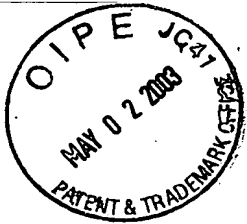
Respectfully submitted,

Date: September 4, 2002

Gunnar G. Leinberg
Gunnar G. Leinberg
Registration No. 35,584

NIXON PEABODY LLP
Clinton Square, P.O. Box 31051
Rochester, New York 14603-1051
Telephone: (585) 263-1014
Facsimile: (585) 263-1600

Certificate of Mailing - 37 CFR 1.8(a)	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: U.S. Patent and Trademark Office P.O. BOX 2327 Arlington, VA 22202, on the date below.	
Date <u>9/4/02</u>	<u>Sheri A. Moscato</u> Sheri A. Moscato



SMALL BUSINESS
Docket No.: 81762/210

Applicant : Craig H. Baker, Clyde T. Carter, Alexander S. Fater, Urs F. Nager,
Christopher Palagi, Christine M. Svelnis, and Mark A. Wojcicki
Serial No. : 09/374,681
Filed : August 16, 1999
For : LOW INSERTION FORCE ELECTRICAL SOCKET CONTACT

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN : Anderson Power Products
ADDRESS OF CONCERN : 13 Pratts Junction Road
P.O. Box 579
Sterling, MA 01564

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled **LOW INSERTION FORCE ELECTRICAL SOCKET CONTACT** by inventor(s) **Craig H. Baker, Clyde T. Carter, Alexander S. Fater, Urs F. Nager, Christopher Palagi, Christine M. Svelnis, and Mark A. Wojcicki** described in

- ☐ the specification filed herewith
☒ U.S. Patent Application Serial No.: 09/374,681
Filed: August 16, 1999
☐ U.S. Patent No.:
Issued:

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

NAME :
ADDRESS :

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME :
ADDRESS :

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING	:	DANIEL N. SCENNAH
TITLE OF PERSON OTHER THAN OWNER	:	Executive V.P.
ADDRESS OF PERSON SIGNING	:	Anderson Power Products
		13 Pratts Junction Road
		P.O. Box 579
		Sterling, MA 01564

SIGNATURE: 

DATE: 8/9/02